

10/568386

IAP20 Rec'd PCT/PTO 15 FEB 2006
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q92765

Takeshi AZAMI, et al.

Appln. No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: February 15, 2006

Examiner: Not Yet Assigned

For: APPARATUS FOR MANUFACTURING NANO-CARBON AND METHOD OF
MANUFACTURING NANO-CARBON

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Publication No. 2001-64004, published March 13, 2001 (with English Abstract).
2. Japanese Patent No. 64-335, issued January 6, 1989 (with English Abstract).
3. Japanese Patent Publication No. 2000-249540, published September 14, 2000 (with English Abstract).

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.P./

INFORMATION DISCLOSURE STATEMENT
National Stage Entry of PCT/JP2004/011263

4. Japanese Patent Publication No. 2001-64004, published March 13, 2001 (with English Abstract).

5. Japanese Patent No. 63-38427, issued July 29, 1988 (with English Abstract).

6. S. Iijima, et al. "NANO-AGGREGATES OF SINGLE-WALLED GRAPHITIC CARBON NANO-HORNS," Chemical Physics Letters 309, August 13, 1999, pages 165-170.

One copy of each of the listed documents is submitted herewith, except for those listed in the International Search Report. It is assumed that copies of the cited references from the International Search Report will be supplied directly by the International Bureau, but if further copies are needed, the undersigned will undertake to provide them upon request.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents Applicant submits the International Search Report which cites reference Nos. 2-4 and indicates the degree of relevance found by the Foreign Office. Applicant also states that reference No. 1 is discussed within the specification beginning at page 2, line 4.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Receipt date: 02/15/2006

10568386 - GAU: 4181

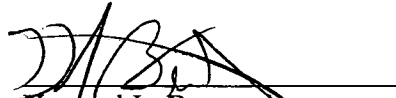
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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,


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MODIFIED PTO/SB/08 A & B (06-03)

Substitute for Form 1449 A & B/PTO <u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u> <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Not Yet Assigned
				Confirmation Number	Not Yet Assigned
				Filing Date	February 15, 2006
				First Named Inventor	Takeshi AZAMI
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet 1 of 1				Attorney Docket Number	Q92765

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
/A.P./		JP	2001-64004	A	03-13-2001	JAPAN SCIENCE & TECH, et al.	Abstract
/A.P./		JP	64-335	B2	01-06-1989	MATSUSHITA ELECTRIC IND CO LTD	Abstract
/A.P./		JP	2000-249540	A	09-14-2000	TOKYO SEIMITSU CO LTD, et al.	Abstract
/A.P./		JP	63-38427	B2	07-29-1988	AGENCY OF IND SCIENCE & TECH, et al.	Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		S. Iijima, et al. "NANO-AGGREGATES OF SINGLE-WALLED GRAPHITIC CARBON NANO-HORNS," Chemical Physics Letters 309, August 13, 1999, pages 165-170.	

Examiner Signature	/Alexander Polyansky/	Date Considered	10/15/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols (indicated on the document) under WIPO Standard ST. 3, if possible. ⁶Applicant is to indicate here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.P./